Physical modeling of triple near-Earth Asteroid (153591) 2001 SN263 from radar and optical light curve observations

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A B S T R A C T

We report radar observations (2380-MHz, 13-cm) by the Arecibo Observatory and optical light curves observed from eight different observatories and collected at the Ondřejov Observatory of the triple near-Earth asteroid system (153591) 2001 SN263. The radar observations were obtained over the course of ten nights spanning February 12–26, 2008 and the light curve observations were made throughout January 12–March 31, 2008. Both data sets include observations during the object’s close approach of 0.06558 AU on February 20th, 2008. The delay-Doppler images revealed the asteroid to be comprised of three components, making it the first known triple near-Earth asteroid. Only one other object, (136617) 1994 CC is a confirmed triple near-Earth asteroid.

We present physical models of the three components of the asteroid system. We constrain the primary’s pole direction to an ecliptic longitude and latitude of (309°, −80°) ± 15°. We find that the primary rotates with a period 3.4256 ± 0.0002 h and that the larger satellite has a rotation period of 13.43 ± 0.01 h, considerably shorter than its orbital period of approximately 6 days. We find that the rotation period of the smaller satellite is consistent with a tidally locked state and therefore rotates with a period of 0.686 ± 0.002 days (Fang et al. [2011], Astron. J. 141, 154–168). The primary, the larger satellite, and the smaller satellite have equivalent diameters of 2.5 ± 0.3 km, 0.77 ± 0.12 km, 0.43 ± 0.14 km and densities of 1.1 ± 0.2 g/cm³, 1.0 ± 0.4 g/cm³, 2.3 ± 1.3 g/cm³, respectively.

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1. Introduction

Asteroid (153591) 2001 SN263 was discovered on September 20, 2001 by the LINEAR survey (Stokes et al., 2000). On February 12, 2008, radar observations conducted at the Arecibo Observatory revealed the asteroid to be comprised of three components,
making it the first known triple near-Earth asteroid (NEA) system. Of the 49 observed binary near-Earth asteroids, only one other NEA, 136617 1994 CC, has since been confirmed as a triple (Brozović et al., 2011). We obtained delay-Doppler images from the Arecibo Observatory that cover 10 nights during February 12–26, 2008, (Table 1) and light curve data collected at the Ondřejov Observatory from eight different observatories spanning January 12 – March 31, 2008 (Table 2). The closest approach of the asteroid during this encounter occurred on February 20, 2008 at a distance of 0.06558 AU.

2001 SN\textsubscript{263} is comprised of a large primary and two smaller satellites. The smaller inner satellite has a semimajor axis of 3.804 ± 0.002 km, or approximately 3 primary radii, and an orbital period of 0.686 ± 0.002 days. The larger, outer satellite orbits the primary in 6.2 ± 0.1 days, with a semimajor axis of 16.6 ± 0.2 km (Fang et al., 2011), approximately 13 primary radii. Both objects display low eccentricities of 0\% and 2.6\% of the primary's mass, respectively. Spectroscopic masses of the smaller and larger satellites to be approximately 1% and 2.6% of the primary's mass, respectively. Calculations by Fang et al. (2011) determined the system in 6

Table 1
Radar observations of 2001 SN\textsubscript{263}.

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<thead>
<tr>
<th>UT date yyyy-mm-dd</th>
<th>SRLat degrees</th>
<th>RTT s</th>
<th>Baud µs</th>
<th>Res m × Hz</th>
<th>Start–stop hmmm:ss–hmmm:ss</th>
<th>Runs</th>
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<td>2008–02-12</td>
<td>19</td>
<td>74</td>
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<td>012826–012933</td>
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<td>013115–014000</td>
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<td>cw 0.33 Hz</td>
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<td>14</td>
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<td>– 1</td>
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<td>022030–024340</td>
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<td>024807–032057</td>
<td>7</td>
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<td></td>
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<td>2008–02-26</td>
<td>– 7</td>
<td>70</td>
<td>0.5</td>
<td>75 m × 0.6 Hz</td>
<td>023503–025946</td>
<td>11</td>
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</table>

In Section 2 we discuss the observations and the data reduction. In Section 3 we describe the modeling method and in Sections 3.3 and 3.4 we show the results of the physical models for the primary asteroid and its two satellites. In Section 4 we discuss the implications of the triple asteroid system for constraining dynamical formation and evolution models of binary asteroids. We then summarize our findings in Section 5. We place constraints on the rotation period, pole direction, and physical properties of each component and derive the density for the primary asteroid and its two satellites.

2. Observations and data reduction

2.1. Delay-Doppler images

Observations of 2001 SN\textsubscript{263} were made at the Arecibo Observatory on ten nights spanning February 12–26, 2008. Details of the observations are listed in Table 1. The delay-Doppler images were obtained by transmitting a circularly polarized monochromatic signal at 2380 MHz (12.6 cm) for approximately the round-trip time to the asteroid and then receiving the signal in both the opposite and the same circular polarizations. Delay-Doppler images display range in one dimension and line-of-sight velocity in the other. In the delay-Doppler images presented in this paper, relative radial velocity is shown along the x-axis and range increases downward along the y-axis. For each night we obtained data with 0.1 µs (15-m) resolution, 0.5 µs (75-m) resolution, or both. For each transmit-receive cycle, or run, there is some number of statistically independent measurements, or “looks”. The looks are single.
estimates of the echo's delay-Doppler power distribution, and the number of them, \( N_{\text{looks}} \), which is chosen for a given resolution, is equal to the product of the round-trip time and the Doppler frequency resolution. We incoherently sum these estimates which reduces the fractional noise fluctuation by a factor of \( \sqrt{N_{\text{looks}}} \). The values used for \( N_{\text{looks}} \) can be found in Supplementary Material. A more detailed description of the reduction for delay-Doppler images is given by Magri et al. (2007).

Fig. 1 displays the 0.5 μs-resolution summed delay-Doppler images from each night of radar observations. Both satellites are visible in the summed images, as well as the individual images. In Fig. 1 the satellites appear smeared due to their movement with respect to the primary. This effect is most pronounced when the satellite's motion is in the line of sight, detected in the radar data as having a high absolute radial velocity relative to the primary. The delay-Doppler images were used to estimate the diameter and rotation period of the three components of 2001 SN₂₆₃. Assuming a roughly spherical object, the range of the signal in the delay-Doppler images represents the approximate radius of the object. The Doppler broadening, or bandwidth, can be measured in the images and applied to Eq. (1) to estimate the instantaneous rotation period.

\[
P_r = \frac{4\pi D}{\lambda B} \cos \delta
\]

Here \( P_r \) is the rotation period, \( D \) is the object's maximum diameter (estimated by the range), \( B \) is the bandwidth, \( \lambda \) is the wavelength (12.6 cm) and \( \delta \) is the sub-Earth latitude (Ostro, 1993).

The relatively constant bandwidths of Alpha and Gamma suggest spheroidal objects, however Beta's widely-varying bandwidth and range extent imply that it is non-spheroidal. Additionally, the estimate for the rotation period of Beta is significantly faster than its orbital period, indicating that the satellite is not in synchronous rotation about Alpha.

### 2.2. Continuous wave spectra

We obtained continuous wave (CW) echo power spectra for each night of radar observations with the exception of February 26th. We transmit a circularly polarized signal at 2380 MHz for approximately the same amount of time as the round-trip time of the signal. We then receive the reflected signal, most of which has been polarized in the opposite direction (OC) upon reflection, though some of the signal, due to secondary reflections, is received with the same polarization (SC). The CW spectra are plotted in...
The broad, overall signal seen in the figure indicates the fast rotation rate of the larger primary, while the two thin spikes in the data represent the smaller satellites, which are rotating much more slowly. The locations of the spikes shift each day due to the changes in relative velocity with respect to the center of the primary as the satellites orbit.
We derive values for the polarization ratios and radar albedos of the three components of 2001 SN$_{263}$ when their contributions are separable. The radar albedo is defined as the ratio of the object’s radar cross section to its projected area (Ostro, 1993). We find the OC cross sections for Alpha, Beta and Gamma are $0.5 \pm 0.2$ km$^2$, $0.07 \pm 0.02$ km$^2$, and $0.02 \pm 0.01$ km$^2$, respectively. These values are also listed in Table 3. The cross sections include statistical errors from the variability of the cross section from scan to scan as well as a 25% systematic calibration uncertainty. A comparison of the spectra in both polarizations reveals SC/OC ratios of Alpha and Gamma as $0.17 \pm 0.03$, $0.15 \pm 0.03$, and $0.14 \pm 0.04$ respectively, which are mutually consistent. The ratio of the signals roughly describes the percent of the signal that is reflected a second time on the asteroid’s surface and therefore provides a measure of the surface roughness. Low ratios indicate a relatively smooth near-surface at the wavelength scale (few centimeters). The average values of the SC/OC ratios are on the lower end of observed polarization ratios for primitive, C-class near-Earth asteroids in the taxonomic classes described by Tholen and Barucci (1989) (Benner et al., 2008). The low but non-zero circular polarization ratio also indicates that there may be some decimeter-scale structure within a few meters of the surface (Magri et al., 2007).

We use the average projected area from the best-fit models (described in Section 3) and the uncertainties in those models to determine the radar albedos. The results are summarized in Table 3. The radar albedo of Alpha, Beta and Gamma are $0.10 \pm 0.03$, $0.15 \pm 0.06$ and $0.12 \pm 0.08$, respectively. The larger nominal radar albedos of Beta and Gamma may imply slightly higher surface densities than Alpha, but not in a statistically significant way as the uncertainties allow the radar albedos of all three components to be the same.

2.3. Light curves

We carried out photometric observations of 2001 SN$_{263}$ from eight different observatories. Many observers were eager to help characterize this asteroid system once the discovery of the two satellites was announced (Nolan et al., 2008). We used the standard asteroid light curve photometry techniques for each observatory as described in the papers listed under the Data Reduction Reference column in Table 2.

We analyzed the photometric observations using the methods described in Pravec et al. (2006). First, we identified measurements that were taken during mutual events (eclipses or occultations between the primary and a satellite), based on the occurrence of a brightness attenuation during the event as compared with measurements taken at other times covering the same rotational phases outside mutual events. The identified in-event measurements were removed. The out-of-event data were analyzed using the Fourier series method described in Pravec et al. (2006). Where there was a detectable signal from the rotation of Beta, which has a period of approximately 13.4 h (see Section 3.4.1), we fit the data with two additive Fourier series, one with the rotation period of Alpha and the other with the period of Beta (we did not find a clear signal from the rotation of Gamma). The brightness variation with the 13.4-h period was removed from the measurements by subtracting the non-constant part of the Fourier series with the 13.4-h period from the measurements; the constant term, corresponding to the mean brightness of Beta, was left in the data, as we did not know a priori the mean brightness ratio between Alpha and Beta. In the resulting data, the brightness variation due to the rotation of Alpha was present and the data were used in our subsequent modeling of Alpha.

2.4. Spectra

Characterization of NEAs at many different wavelengths leads to a much more complete understanding of their origin, history and structure. We coordinate observations of radar targets by other observers whenever possible. M.D. Hicks obtained visible photometry of 2001 SN$_{263}$ on December 16, 2007 from TMO. When these data are compared to the most similar spectra of the SMASH II survey (Bus and Binzel, 2002), the blue slope most closely matches B-type asteroids such as 704 Interamnia. Near-infrared spectroscopy (0.8–2.5 microns) was obtained using the NASA Infrared Telescope Facility (IRTF) by Reddy et al. (2008) on February 27, 2008. We obtained a 1.9–4.1 micron spectrum and fit a thermal model in order to remove the thermal contribution.

These data combined with the visible photometry gives a taxonomic class B (part of the C complex), whereas the near-infrared spectrum alone matches the C, B or Cb class in the Bus-DeMeo taxonomic system (DeMeo et al., 2009; Reddy et al., 2008). Our spectral analysis agrees with that of Perna et al. (2014). More extensive spectral coverage results in a more definitive taxonomic class identification. Reddy et al. (2008) suggest that the CV3 meteorites are the best analog to 2001 SN$_{263}$, based on the featureless blue sloped spectrum. The visible spectrum is also similar to 101955 Bennu, the target of the OSIRIS-REx mission (Fig. 3), although the near-infrared spectrum of Bennu is bluer than that of 2001 SN$_{263}$ (Clark et al., 2011). The objects also have similar orbital inclinations, with Bennu inclined by 6° (Nolan et al., 2013) and 2001 SN$_{263}$.

Table 3

<table>
<thead>
<tr>
<th>OC cross section (km$^2$)</th>
<th>Alpha</th>
<th>Beta</th>
<th>Gamma</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>0.5 ± 0.2</td>
<td>0.07 ± 0.02</td>
<td>0.02 ± 0.01</td>
</tr>
<tr>
<td>SC/OC ratio</td>
<td>0.17 ± 0.03</td>
<td>0.15 ± 0.03</td>
<td>0.14 ± 0.04</td>
</tr>
<tr>
<td>Radar albedo</td>
<td>0.10 ± 0.03</td>
<td>0.15 ± 0.06</td>
<td>0.12 ± 0.08</td>
</tr>
</tbody>
</table>

The OC cross section is the cross section of the reflected radar signal polarized opposite to the transmitted signal. The SC/OC ratio is a comparison of the reflected radar signal that is circularly polarized in the same direction as the transmitted signal (SC) to the reflected signal with the opposite polarization (OC).

Fig. 3. The near-infrared spectrum of 2001 SN263 was measured using SpeX at the NASA IRTF by R. J. Vervack, Jr. and N. Dello Russo (personal communication) on 2008 February 26 (2.2–4.1 microns) and reduced by one of us (ESH). In addition, Reddy et al. (2008) obtained the spectrum from 0.8–2.5 microns. We match the photometry from Hicks et al. on 27 December 2007 along with the CCD spectrum that most closely matches those data (704 Interamnia, a B-type) from the SMASH II survey (Bus and Binzel, 2002). We fit a thermal model and remove the thermal contribution from the 2001 SN$_{263}$ spectrum beyond 2 microns, in order to classify only the reflected portion of the spectrum. This asteroid is in the B taxonomic class. Another B class NEA is 101955 Bennu, the target of the OSIRIS-REx sample return mission (Clark et al., 2011). The combined spectrum of Bennu is shown for comparison, offset for clarity.
SN\textsubscript{263} inclined by 6.7° (Minor Planet Center, 2001; Perna et al., 2014). These similarities may suggest a common origin, and the samples expected from Bennu may be similar in composition to objects like 2001 SN\textsubscript{263}. Delbo et al. (2011) determine that the visible albedo is 0.048 ± 0.015, consistent with the B taxonomic class. They fit a NEATM thermal model to a 1.9–4.1 micron IRTF SpeX spectrum and derive a beaming parameter of 1.35 ± 0.03, which they interpret as indicative of relatively little regolith on the surface. Asteroid systems with satellites seem to have systematically higher beaming parameters than similarly-sized single NEAs (Delbo et al., 2011), which may provide a useful constraint on their formation mechanism.

3. SHAPE modeling

We derive 3-D shape models, including estimates of the axis dimensions, spin states, rotation periods, and the shape of the objects for the three components of the asteroid system 2001 SN\textsubscript{263} from the radar images and light curve data. The iterative SHAPE modeling method is described in detail by Magri et al. (2007) based on the techniques of Hudson (1993). The general process includes modeling the object as a triaxial ellipsoid, then as a smooth set of spherical harmonic functions, and then with a series of approximately equally-sized triangular facets (Magri et al., 2007; Nolan et al., 2013). For each model, we produce synthetic light curves and delay-Doppler images and determine a \(\chi^2\) by minimizing the residuals between the simulated data and the observations. To guide the modeling process, the data is weighted depending on its quality or the type of data being used (i.e. light curves or delay-Doppler images). Additional guidance is given through user-provided penalty functions, which constrain the shapes to adhere to the more simplified assumptions of principal axis rotation, uniform density and smaller concavities. We assume principal axis rotation as the data and the model fits do not suggest otherwise. The penalty functions constrain the model's density to be uniform at large scales but they do not rule out small-scale variations in the density. The concavity penalty functions prevent unrealistic features of the model that can be created due to artifacts in the data, however they are set to still allow for varying topography. For each penalty function, we chose values that were as small as possible and yet did not result in non-physical shapes or features in the model, as described by Magri et al. (2007). The variables that describe the photometric and radar scattering equations were left as free parameters to be fit during the modeling process. A list of other parameters used in the SHAPE modeling routines can be found in Magri et al. (2007), and we follow similar procedures and assumptions made in that paper. The goodness of fit is determined by comparing the synthetic and observed data and minimizing the objective function, which is the sum of the reduced \(\chi^2\) and the penalty functions. Here we will refer to the objective function as \(\chi^2\) for simplicity (Magri et al., 2007; Nolan et al., 2013).

3.1. Uncertainties

We determine the uncertainties in our model by exploring the parameter space around the best-fit values for each axis, the period and the pole direction of Alpha, Beta and Gamma following the uncertainties analysis described by Nolan et al. (2013). We test the accuracy of the fit by re-running the model with slightly varied values for the physical parameter in question. For example, to determine the uncertainties of the size of the object in the X-axis dimension, we run a suite of models with values that slightly deviate from the best-fit value, and hold all other parameters constant. We then inspect the results and compare the changed models' reduced \(\chi^2\). These grid searches surrounding the parameter of interest, for which we typically ran 80–100 test models, result in a parabola of \(\chi^2\) values with the best-fit value at the vertex. We analyze the increase in \(\chi^2\) as a function of deviance from the best-fit value for the given parameter. As described by Nolan et al. (2013), some aspects of the model are not equally related to the degrees of freedom in the data. In order to compute the \(\chi^2\) for each parameter we only included the degrees of freedom that were affected by those parameters. This was determined empirically for each parameter. Therefore we had to make subjective judgments about the uncertainties based on the combination of the numerical changes in reduced \(\chi^2\) and the visual inspections of the observations compared with the synthetic data produced by the model.

For the uncertainties of the equatorial X- and Y-axes of the primary, Alpha, we use CW data to put stronger constraints on the edges of the asteroid. The uncertainty of the polar Z-axis cannot be constrained with the radar data, however the light curve data do effectively constrain the polar Z-axis and keep the uncertainties low. For any parameter that revealed two possible limits for the uncertainty (i.e. \(\chi^2\) deviated more quickly as we increased the parameter's value than when we decreased it), we always chose the higher uncertainty level. We evaluate the deviation from the best-fit value in this manner for each parameter to determine and assign the uncertainties accordingly. The uncertainties for the volume and density of each object were calculated by error propagation from the uncertainties of the principal axes and the mass.

3.2. Modeling dataset

The modeling dataset contains 240 delay-Doppler images, of which 139 were taken at 0.5-\mu s resolution (75 m/pixel), and 101 images at 0.1-\mu s resolution (15 m/pixel), all of which are listed in Table 1. We use a total of thirty light curve data sets spanning January 12 – March 31, 2008 in our final model. For the satellites, only the 0.5 \(\mu\)s data was used. We mask the satellites Beta and Gamma from the delay-Doppler images before using the images to model Alpha to ensure that the models are based on data that include only signal from the primary. When we model Beta and Gamma, we vignette the images to feature only the appropriate satellite. In some of the images from February 18 and 20, Gamma's signal overlaps with Alpha's. Because we are unable to distinguish the exact extent of Gamma's signal from that of Alpha, we are unable to vignette and use these images for the models of Gamma. We are, however, able to conservatively mask Gamma out of the images we then use for the shape models of Alpha. No light curves were used for modeling the satellites.

3.3. Shape modeling of 2001 SN\textsubscript{263} Alpha

3.3.1. Pole search

In order to determine the physical properties of Alpha, the primary object of 2001 SN\textsubscript{263}, we conducted an iterative modeling process of the general shape, the spin-pole orientation, and the rotation period. From the photometric data we derived a rotational period of 3.424 ± 0.001 h, which we used as an initial parameter for the models. We calculated an estimate for the diameter of the asteroid from the delay-Doppler images. The object appears consistently spheroidal in the radar data, so we therefore can assume that the signal is reflected from approximately half of the asteroid. The range in the images suggest a diameter of 2.8 km.

We began the modeling process by constraining the orientation of the spin pole. We performed a grid search of the entire sky divided into 15° increments in ecliptic latitude and longitude.
We modeled the primary as a series of 8th-order and 8-degree spherical harmonic functions with 81 coefficients. In order to offset the computational time required for the harmonic models, we chose a representative subset of the radar and light curve data for the SHAPE software to process. The light curve data was also binned by 3, 5, or 10 points, depending on the complexity of the features in each dataset. The resulting $\chi^2$ values from the grid search indicated that the best-fit models had pole orientations with an ecliptic latitude ($\beta$) between $-70^\circ$ and $-90^\circ$. The best-fit model had a pole orientation of $\lambda$ (ecliptic longitude) = $331^\circ$, $\beta$ (ecliptic latitude) = $-82^\circ$, which is in agreement with the pole orientation determined by Fang et al. (2011): $\lambda$ = $345^\circ$, $\beta$ = $-82^\circ$. In this modeling process, we allowed the rotational period to be a free parameter and we found that the retrograde pole models provided a better fit for the sidereal rotation period.

3.3.2. Vertex models

With good constraints on the period, pole orientation, and shape of Alpha, we further refined the object’s shape by building the more computationally complex faceted vertex model. We converted the parameters from several of the best-fit models described by the spherical harmonic functions, fitting 1148 vertices to match the shape of the asteroid. When constructing the vertex models we utilized all of the delay-Doppler images listed in Table 1. We ran a suite of models, varying the sidereal period of the object for several good pole orientations, and found that the models converged to a value of $3.4256 \pm 0.0002$ h. This is in good agreement with the photometry-derived period of $3.424 \pm 0.001$ h, which was not quite the sidereal period. Our model accounts for the apparent rotation due to the object’s motion through the sky and the pole orientation. With good constraints on the rotation period, we ran another grid search for the pole orientation, focused in the best-fit region for the ecliptic latitude $-70^\circ > \beta > -90^\circ$, incrementing the latitude by $1^\circ$. To limit the number of free parameters in the grid search, we kept the shape of the model constant, allowing only the axial ratios and period to deviate for each fit. Fig. 4 is a contour plot displaying the results of the vertex grid search where lighter regions indicate models with the lowest $\chi^2$. The best-fit model had a pole direction of $(\lambda, \beta) = (307^\circ, -77^\circ)$. Many of the models fit the data well, however the reduced $\chi^2$ for the light curve data increased quickly with deviations from the best pole direction. Visual inspection of the synthetic light curves compared with the observations show good fits for at least $\pm5^\circ$ in ecliptic latitude. However, because the shape of the object was unable to change during the grid search, the best-fit pole may have been biased. We chose three of the best-fit spin-axis pole orientations, $(\lambda, \beta) = (306^\circ, -77^\circ)$, $(309^\circ, -80^\circ)$, and $(303.5^\circ, -82^\circ)$, and re-run the vertex models, allowing all of the facets describing the shape to be varied. To further constrain the models, we included additional light curve data sets in these runs. This test revealed the best-fit pole orientation to be $(\lambda, \beta) = (309^\circ, -80^\circ)$. In an attempt to constrain the longitudes, we ran a suite of models, varying the longitude between $261^\circ$ and $357^\circ$ with the best-fit latitude $\beta = -80^\circ$, however at the high polar latitude we did not expect to be able to constrain the longitudes well. We found that there is no significant difference in the reduced $\chi^2$ values for the longitudes tested. We adopt the best-fit pole direction of $(\lambda, \beta) = (309^\circ, -80^\circ) \pm 15^\circ$.

We examine how well our final model reproduces the data through comparisons of the synthetic light curves and the photometric observations, as seen in Fig. 5. We also compare the model light curves with the light curve data that was not included in the modeling, and that extend to dates slightly before and after the dates used in the modeling data set (Fig. 6). We find that the model light curves are in excellent agreement with the data, which supports the adopted pole direction, model shape, and period determined for 2001 SN263 Alpha.

For further confirmation of Alpha’s rotation period, we investigate the possibility of additional or fewer rotations occurring in the time-span of the data. We added and removed 1, 2, 5, 7, 14, and 30 rotations to the total number of rotations that Alpha should have made over the course of the light curve observations. We included 7 and 14 rotations because the asteroid rotates approximately 7 times per day. We again compare the model-produced light curves with the data. Fig. 7 shows two examples of how poorly the models with additional or fewer rotations match the observations, further confirming the adopted rotation period of $3.4256 \pm 0.0002$ h.

3.3.3. Shape and size of 2001 SN263 Alpha

We present the final shape model of 2001 SN263 Alpha in Fig. 8. Fig. 9 compares the model with a representative subset of delay-Doppler images. 2001 SN263 Alpha displays an equatorial bulge, a common characteristic for the primary in a multi-asteroid system (e.g. Ostro et al., 2006; Harris et al., 2009). From the derived shape

![Chi-square Grid Search of 2001 SN263 Pole, 1 Degree Resolution](image-url)
of the model, we calculate various physical parameters that describe the asteroid.

The final shape models indicate that the dimensions of the primary of 2001 SN$_{263}$ along the equatorial $X$- and $Y$-axes, and the polar $Z$-axis are $2.8 \pm 0.1$ km, $2.7 \pm 0.1$ km and $2.9 \pm 0.3$ km, respectively. Alpha’s equivalent diameter is $2.5 \pm 0.3$ km. The primary’s volume is $8.2 \pm 1.1$ km$^3$. We apply the mass derived by Fang et al. (2011) of $917.5 \pm 2.2 \times 10^{10}$ kg and find Alpha’s density to be $1.1 \pm 0.2$ g/cm$^3$. Marchis et al. (2012) determine that the bulk density for C-complex binary asteroids to range from 0.7 to 1.7 g/cm$^3$, supporting the spectral analysis that 2001 SN$_{263}$ is a C-complex asteroid. Reddy et al. (2008) suggest that the meteorite analog for the asteroid is a CV3. Using the average grain density of $3.5 \pm 0.3$ g/cm$^3$ for CV meteorites derived by Macke et al. (2011), we estimate the porosity of Alpha to be 68%, implying a rubble-pile structure. Table 4 summarizes the model results for the physical parameters that describe 2001 SN$_{263}$ Alpha and its satellites.

3.4. Shape modeling of the satellites of 2001 SN$_{263}$

We model the smaller components of 2001 SN$_{263}$ using a similar process to that described for Alpha, however the poorer fractional resolution of the radar images of the satellites due to their smaller sizes limits our ability to detect features. We therefore create spherical harmonic models of the two satellites. Although Beta and Gamma could be librating, we do not have high enough signal to noise to assess the question. Librations are therefore not included in the models.

3.4.1. Beta

The radar images reveal that Beta’s bandwidth changes throughout the observations. The light curve data suggest a period consistent with 13.4 or 15 h, which is significantly shorter than its ~6 day orbital period, indicating that Beta is an asynchronous rotator (different orbital and rotational periods). We constrained the
period using 8th-order spherical harmonic shape models of Beta with initial periods ranging from 12 – 18 h and an initial diameter of 0.9 km. We assume that Alpha’s spin-axis is aligned with the mutual orbit pole, and that Beta’s spin-axis pole is also aligned. The model with a period of 13.43 h was the best-fit to the delay-Doppler data. Visual inspection of the models also revealed that the 13.43-h period better matched the observations, particularly at the trailing edge or “tail” of the satellite in the radar images (Fig. 10).

We ran an all-sky 15-degree interval grid search using 8th order spherical harmonic models of Beta to explore the possibility of determining its spin-axis directly from the radar images. For each model, the initial parameters are derived from our best-fit models, however we set all of the parameters as free parameters, with the exception of the pole orientations. Fig. 11 shows the results of this grid search. The light regions represent pole directions with good fits, while dark regions are poor fits. Each contour represents an approximate 10% decrease in reduced $\chi^2$ from dark to light. Diamonds indicate the precise poles used in the grid search. Due to the extensive regions displaying moderate reduced $\chi^2$ values, we are unable to place strong constraints on the pole direction of Beta using the radar data. The spin-axis orientation is not inconsistent with being aligned with Alpha’s spin-pole and therefore we maintain our assumption of the aligned mutual orbit and spin-axes of Alpha and Beta.

We create a final model for 2001 SN263 Beta assuming the adopted spin axis of Alpha ($\lambda = 309$, $\beta = -80$). We compare the delay-Doppler images produced by the model with the radar data...
Fig. 7. Light curve data (plus) vs. model (diamond) when adjusting the rotation period by adding or removing rotations over the timespan of the data (January through March). The data with additional or fewer rotations do not match the light curve data, further verifying the rotation period derived in this paper. Here we show the poor fits for two examples, +1 rotation (left) and −7 rotations (right). We investigated the effect of ±7 rotations because the asteroid completes approximately 7 rotations per day according to our adopted rotation period.

Fig. 8. Final model for Alpha viewed along its principal-axis directions. Yellow regions indicate the parts of the asteroid that could not be observed by the radar data. The maximum incidence angle for this region is 60°. The unseen region is small and constrained, and likely has little effect on the rest of the model. The model shows the asteroid to have an equatorial bulge, common for the primary in a binary or ternary NEA system (Ostro et al., 2006).
in Fig. 10. We note that the model reproduces the location of the trailing edge of the satellite, indicative of an accurate rotation period for 2001 SN263 Beta. The model of the satellite along its principal axes is shown in Fig. 12.

The physical parameters for the outer satellite Beta are listed in Table 4. We find that the satellite's equatorial X-, Y-, and polar Z- axes are $0.75 \pm 0.12$ km, $1.0 \pm 0.2$ km, and $0.64 \pm 0.10$ km respectively. Its equivalent diameter is $0.77 \pm 0.12$ km. The final model produced a volume of $0.24 \pm 0.06$ km$^3$ and therefore a density of $1.0 \pm 0.4$ g/cm$^3$ using the mass derived in Fang et al. (2011). This is consistent within the uncertainties of the density of Alpha. We repeat the calculation made for Alpha assuming CV grain properties of triple asteroid system 2001 SN263.

Table 4

<table>
<thead>
<tr>
<th>Property</th>
<th>Alpha</th>
<th>Beta</th>
<th>Gamma</th>
</tr>
</thead>
<tbody>
<tr>
<td>Principal axes (km)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>X</td>
<td>$2.8 \pm 0.1$</td>
<td>$0.75 \pm 0.12$</td>
<td>$0.54 \pm 0.17$</td>
</tr>
<tr>
<td>Y</td>
<td>$2.7 \pm 0.1$</td>
<td>$1.0 \pm 0.2$</td>
<td>$0.42 \pm 0.13$</td>
</tr>
<tr>
<td>Z</td>
<td>$2.9 \pm 0.3$</td>
<td>$0.64 \pm 0.10$</td>
<td>$0.41 \pm 0.13$</td>
</tr>
<tr>
<td>Equivalent diameter (km)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>X</td>
<td>$2.5 \pm 0.3$</td>
<td>$0.77 \pm 0.12$</td>
<td>$0.43 \pm 0.14$</td>
</tr>
<tr>
<td>Y</td>
<td>$2.4 \pm 0.3$</td>
<td>$0.24 \pm 0.06$</td>
<td>$0.04 \pm 0.02$</td>
</tr>
<tr>
<td>Z</td>
<td>$2.9 \pm 0.3$</td>
<td>$24.0 \pm 7.5$</td>
<td>$9.8 \pm 3.2$</td>
</tr>
<tr>
<td>Volume (km$^3$)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>X</td>
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<td>$1.0 \pm 0.4$</td>
<td>$2.3 \pm 1.3$</td>
</tr>
<tr>
<td>Y</td>
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<td>$0.04 \pm 0.02$</td>
<td>$0.04 \pm 0.02$</td>
</tr>
<tr>
<td>Z</td>
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<td>$0.04 \pm 0.02$</td>
<td>$0.04 \pm 0.02$</td>
</tr>
<tr>
<td>Mass (10$^{10}$ kg)$^a$</td>
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<td></td>
<td></td>
</tr>
<tr>
<td>X</td>
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<td>$24.0 \pm 7.5$</td>
<td>$9.8 \pm 3.2$</td>
</tr>
<tr>
<td>Y</td>
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<td>$1.0 \pm 0.4$</td>
<td>$2.3 \pm 1.3$</td>
</tr>
<tr>
<td>Z</td>
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<td>$0.04 \pm 0.02$</td>
<td>$0.04 \pm 0.02$</td>
</tr>
<tr>
<td>Porosity (68%)</td>
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<td></td>
<td></td>
</tr>
<tr>
<td>X</td>
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<td>$0.76 \pm 0.11$</td>
<td>$0.48 \pm 0.15$</td>
</tr>
<tr>
<td>Y</td>
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<td>$1.0 \pm 0.2$</td>
<td>$0.43 \pm 0.14$</td>
</tr>
<tr>
<td>Z</td>
<td>$2.4 \pm 0.3$</td>
<td>$0.62 \pm 0.09$</td>
<td>$0.42 \pm 0.13$</td>
</tr>
<tr>
<td>DEEVE (km)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>X</td>
<td>$3.4256 \pm 0.0002$</td>
<td>$13.43 \pm 0.01$</td>
<td>$16.40 \pm 0.04^b$</td>
</tr>
<tr>
<td>Y</td>
<td>$16.633 \pm 0.163$</td>
<td>$3.804 \pm 0.002$</td>
<td></td>
</tr>
<tr>
<td>Z</td>
<td>$6.225 \pm 0.095$</td>
<td>$0.686 \pm 0.002$</td>
<td></td>
</tr>
<tr>
<td>Pole direction</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ecliptic longitude &amp; latitude</td>
<td>$(\lambda, \beta)$</td>
<td>$(309^\circ, -80^\circ) \pm 15^\circ$</td>
<td></td>
</tr>
</tbody>
</table>

The equivalent diameter is the diameter of a sphere with the model's volume. ‘DEEVE’ is the dynamically equivalent, equal volume ellipsoid. Porosity is calculated assuming CV meteorite analog with a density of 3.54 g/cm$^3$ (Macke et al., 2011).

$^a$ The masses of Alpha, Beta, and Gamma and the semi-major axes and orbital periods of Beta and Gamma were obtained by Fang et al. (2011).

$^b$ The rotation period for Gamma is assumed to be equal to its orbital period, which was determined by Fang et al. (2011).
density and find that Beta has an approximate porosity of 72%. The high porosity is suggestive of a rubble-pile internal structure.

3.4.2. Gamma

We use an 8th-degree spherical harmonic model to characterize the small, inner satellite, Gamma. From the delay-Doppler images, we begin by approximating the object to be 0.4 km in diameter. The satellite’s size and proximity to Alpha suggest a tidally locked state, resulting in a rotation period equal to its orbital period of 0.886 days or 16.464 h (Fang et al., 2011). We investigate the report given by Fang et al. (2011) that the mutual orbit of Gamma may be inclined by 15 degrees to the orbital plane of Beta by

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**Fig. 10.** Comparison of 0.5 μs-resolution delay-Doppler images of Beta with model for the first radar image received each night in February 2008. The first and fourth columns are the synthetic radar images produced by model, the second and fifth columns contain the 0.5 μs-resolution delay-Doppler image used in the modeling process, and the third and last columns show the viewing geometry of the model. The cross represents the center of mass of the asteroid. The long and intermediate principal axes are represented by the red and green shafts, respectively, while the spin vector is shown as a pink arrow. Because the synthetic radar images were able to reproduce the trailing edge or “tail” of Beta, we were able to verify the satellite’s derived rotation period. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

**Fig. 11.** All-sky 15° spacing grid search of the pole direction of Beta. We use a harmonic model and a period of 13.43 h. Diamonds represent which pole directions were tested. Dark regions represent areas of high reduced $\chi^2$ while the light-colored regions are better fits. The contour levels represent an approximate 10% increase in reduced $\chi^2$ from light to dark. The vast regions of acceptable poles indicate that we are unable to put strong constraints on the pole direction using shape models.
conducting an all-sky grid search for Gamma's spin pole, again assuming that the spin pole is aligned with the mutual orbit pole. We find that we cannot put meaningful constraints on the pole orientation from the radar data and therefore on the orbital plane. The results of the grid search do not rule out either scenario; the spin-axis of Gamma (and therefore the mutual orbit pole) could be aligned with Alpha's or it could be offset by 15° as suggested by Fang et al. (2011).

Our final model of Gamma assumes that the satellite is tidally locked and has a pole direction aligned with Alpha's. The shape model is shown in Fig. 13. We find that Gamma's equatorial $X$, $Y$, and polar $Z$-axes are $0.54 \pm 0.17$ km, $0.42 \pm 0.13$ km, and $0.41 \pm 0.13$ km, respectively. We estimate Gamma's volume to be $0.04 \pm 0.02$ km$^3$ and, assuming Fang et al. (2011)’s mass value of $9.8 \pm 3.2 \times 10^{10}$ kg, we calculate the density to be $2.3 \pm 1.3$ g/cm$^3$. The density is consistent within the uncertainties of the density of Alpha, however it may be that the satellite has a lower porosity than the primary. The derived physical properties for Gamma are listed in Table 3.

4. Discussion

4.1. Formation

Terrestrial double craters (Bottke and Melosh, 1996) and anomalous light curve observations of asteroids (Pravec and Hahn, 1997; Pravec et al., 1998, 2000; Mottola and Lahulla, 2000) hinted at the existence of near-Earth binary asteroids before they were unambiguously detected (Margot et al., 2002). Current observations reveal approximately 15% of all NEAs with diameters greater than 200 m have a companion (Margot et al., 2002; Pravec et al., 2006). The primary asteroid in binary and tripler asteroid systems is usually rotating very quickly, on average 2.5–4 h (Pravec et al., 2006) and often displays an equatorial bulge (Ostro et al., 2006). 2001 SN263 Alpha is no exception, with a fast rotation period $3.4256 \pm 0.0002$ h and a visible equatorial bulge. The spin-axis pole reported in this paper of $(309, 90) \pm 15^\circ$ corresponds to an obliquity of $166^\circ \pm 14^\circ$. Here the uncertainties are determined by calculating the obliquity of the primary if its pole were oriented to $(309, 90)$ and $(309, 65)$, the limits based on the uncertainty in Alpha's pole direction. Such a large retrograde obliquity could be the result of YORP-driven spin-axis evolution (Rubincam, 2000; Nesvorný and Vokrouhlický, 2008; Hanuš et al., 2011). A highly anisotropic pole distribution is also seen and attributed to YORP in the small main belt binary asteroid population, which appears to be the main belt analog for the binary NEA population (Pravec et al., 2012a) and in asteroids disintegrated by rotational fission (Polishook, 2014b).

Most of the known triple asteroid systems, including 2001 SN263 and 1994 CC, are both Hill stable and hierarchically stable (Liu et al., 2012, 2014), meaning the orbits of the satellites will never cross and will never escape from the system via their mutual gravitational interactions. This also argues against a past history of strong interaction. Formation likely occurs from one of three avenues: a single formation event for both satellites, a formation event involving the primary and a second event involving the secondary,
or successive formation events involving the primary. We do not intend here to determine the formation mechanism of triple asteroid systems, but instead describe the challenges in forming them.

In the first scenario, the primary rotates near its critical spin limit and then exceeds it, either most likely by continued YORP spin-up (Walsh et al., 2008) or possibly due to a collision (Ortiz et al., 2012), resulting in a single mass shedding event. The two satellites then coalesce from the debris disk formed around the primary. To be a viable formation mechanism, enough material would have to be shed from the primary and remain bound to the system to coalesce into the larger satellite, at a wider orbit far enough from the small satellite to avoid gravitationally scattering off one another and upsetting the stability of the system.

In the second scenario, once a secondary has formed during a primary fission event, the spin–orbit coupling of the newly formed system causes the secondary itself to undergo a fission event. Jacobson and Scheeres (2011) find that while this secondary fission event creates a third body in the system, the strong interactions between the three bodies cause the ejection or re-accretion of one of the satellites on rapid timescales of $10^4$ years or less. The lack of a resultant stable three-component configuration is difficult to overcome, especially given the apparent Hill and hierarchical stability of observed systems.

In the third scenario, after a mass shedding event that forms the secondary, the primary again spins up to the critical spin limit and undergoes another mass shedding event to form the tertiary component (Taylor and Margot, 2011; Fang and Margot, 2012a). With enough time between the mass shedding events, the secondary could evolve far enough outward by tides or other orbit-expansion mechanisms that the tertiary can form without having strong gravitational interactions with the secondary and keep the system stable. The summary of this scenario hinges upon the evolution timescales involved.

4.2. Dynamical evolution

The dynamical evolution of the triple near-Earth asteroid system also proves difficult to fully explain. In particular, the larger, outer satellite Beta is in a wide, asynchronous orbit, and yet it has a very low eccentricity. At least 25% of all near-Earth multi-asteroid systems have an asynchronously rotating satellite (Brozović et al., 2011). Among the known and well-characterized binary and triple systems, these satellites also have semimajor axes greater than seven primary radii or eccentricities greater than 0.05 (Fang and Margot, 2012a). While Beta’s semimajor axis is approximately 13 primary radii, its eccentricity is only 0.015 (Fang et al., 2011). Here we discuss a few evolutionary paths that could lead to Beta’s current orbital state.

Gravitational tides between the rubble-pile components will evolve Beta outward, synchronize its rotation, and circularize its orbit (Goldreich and Sari, 2009). However, the process acts very slowly at separations beyond a handful of primary radii for reasonable material properties (Taylor and Margot, 2010; Taylor and Margot, 2011) and is unlikely to evolve the separation sufficiently in the dynamical lifetime of a near-Earth asteroid of a few to tens of millions of years (Gladman et al., 1997; Bottke et al., 2002). Perhaps Beta was formed prior to the injection of 2001 SN263 from the main belt allowing a longer timescale for tidal evolution, but tides alone cannot account for the observed asynchronous rotation of Beta.

Binary YORP, or BYORP, is theorized to affect the orbit of a binary system as a result of the imbalance in re-radiated light by the permanent leading and trailing hemispheres of a synchronously rotating secondary (Cuč and Burns, 2005; Cuč and Nesvorný, 2010). If Beta formed in a closer orbit around Alpha, then tides could quickly synchronize its orbit, enabling BYORP. Čuk and Nesvorný (2010) find that once the secondary reaches 0.2–0.4 primary radii in size (as Beta is), BYORP increases the semimajor axis and the eccentricity on timescales much faster than tides. For sufficiently elongated secondaries on eccentric orbits, Čuk and Nesvorný (2010) determine chaotic rotation is inevitable and breaks the synchronous rotation. For many of their simulations, though, the synchronous orbit is re-established in the opposite direction causing the orbit to contract. This scenario, however, cannot simultaneously explain the low eccentricity and asynchronous rotation of Beta.

An alternate formulation of BYORP by McMahon and Scheeres (2010) predicts eccentricity damping as the semimajor axis increases, but requires another mechanism to break the synchronous lock of the satellite, such as a planetary flyby (Fang et al., 2011; Fang and Margot, 2012b), increased libration during outward evolution by BYORP (Jacobson et al., 2014), or YORP spin...
up overcoming tidal damping at such a large separation (Goldreich and Sari, 2009). Continued YORP spin up could then explain how Beta's rotation period could evolve from a synchronous value of several days to its current value of approximately 13 h.

Another possibility involves Beta forming near its current separation of ~13 primary radii. Jacobson and Scheeres (2011) suggest rotational fission can form wide asynchronous binaries that never synchronize due to the weakness of tidal torques at such large separations. However, producing a wide separation requires a large eccentricity. While tides can damp the eccentricity, at Beta's separation, it would require of order $10^{10}$ years to damp to its current value of 0.015 (Jacobson et al., 2014), far exceeding the dynamical and collisional timescales of the system. Again, the combination of a wide separation, a low eccentricity, and asynchronous rotation make the formation and evolution of Beta difficult to unravel. Even Jacobson et al. (2014) concede the formation of Beta may require "something more exotic" than direct rotational fission to explain its current dynamical state. Beyond Beta, models must also account for the formation of Gamma and whether its formation occurred during the event that formed Beta or at some other time.

The dynamical processes enacted on Beta to give the satellite its current orbital properties could continue to evolve the system further. It is possible that some secondaries continue to migrate outwards until they are no longer gravitationally bound to the primary. At this point, they could be observed as an asteroid pair rather than a binary system (Nesvorný and Vokrouhlický, 2008). Near-Earth asteroid pairs formed in this way may be difficult to identify due to the fast dynamical evolution of the heliocentric trajectory of the once-satellite component. Asteroid (341843) 2008 EV5 displays the spherical shape and equatorial bulge typical of the primary in a binary or triple system, however no satellite has been detected (Busch et al., 2011). While it is currently spinning at a rate too slow to form a secondary, perhaps it once had a companion that migrated far from the primary, simultaneously slowing the primary's rotational spin (Pravec et al., 2010). Additionally, 101955 Bennu, the target of the OSIRIS-REx mission, is a spherical object with an equatorial ridge (though less well-defined) and a fast rotation period of $4.297 \pm 0.002$ h but with no detected satellites (Nolan et al., 2013). These objects, if they were once in a binary system, could also have lost their satellites during the fission process, as described by Jacobson and Scheeres (2011).

Vokrouhlický (2009) report the existence of an asteroid pair where the primary member, 3749 Balam, is itself a component of a ternary system, with orbital and periodical parameters similar to those of 2001 SN$_{263}$ (Merline et al., 2002; Marchis et al., 2008; Polishook et al., 2011). Similarly, (8306) Shoko (Pravec et al., 2013), (25884) 2000 SQ4 (Warner et al., 2012), and (26146) 1999 XM84 (Polishook, 2014a) are the primary components of asteroid pairs and also each have one or two bound satellites of their own. Could migration force 2001 SN$_{263}$ Beta into a binary pair with the Alpha–Gamma system? Or could it retain a synchronous orbit and begin to decrease its orbital distance, as predicted by Cuk and Nesvorný (2010)? If Alpha is able to spin up and produce another satellite, would that disrupt the system and produce an asteroid pair? For how long are triple systems dynamically stable?

The discovery of triple near-Earth asteroid systems challenges our previous notions of the formation and evolution of binary asteroid systems. The two confirmed triple systems share some properties however they differ in the location of the larger satellite with respect to the smaller one. Unlike in the 2001 SN$_{263}$ system, the outer satellite in 1994 CC is eccentric. Hypotheses as to how these systems form must account for these various component alignments, as well as the distant, asynchronously orbiting satellite with different eccentricities. Understanding the dynamical evolution of these systems could provide constraints on their ages, which provides insight into the composition and lifetimes of triple near-Earth asteroid systems.

5. Summary

Using delay-Doppler images and light curve data, we produce three-dimensional models of the three components of the triple near-Earth asteroid (153591) 2001 SN$_{263}$. We constrain the pole direction for the spin-axis of the primary to be $(\lambda, \beta) = (309^\circ, -80^\circ) \pm 15^\circ$. We are unable to place strong constraints on the pole directions of the satellites, however the models do not rule out an alignment of the satellites' spin-axes with that of the primary. We therefore derive models of the satellites assuming the satellites' spin-axes and their mutual orbit poles are aligned with the spin–axis of Alpha. The sidereal rotation periods we derive for Alpha and Beta are $3.4256 \pm 0.0002$ h and $13.43 \pm 0.01$ h respectively. We find no evidence that suggests that Gamma is not tidally locked, so we assume Gamma's rotation period is the same as its orbital period of $16.40 \pm 0.04$ h (Fang et al., 2011). The shape of Alpha is spheroidal and displays an equatorial bulge. The larger, outer satellite, Beta, is elongated and rotates much more rapidly than its orbital period of six days. The smaller, inner satellite, Gamma, appears to be a spheroidal object. We find the equivalent diameters of Alpha, Beta and Gamma to be $2.5 \pm 0.3$ km, $0.77 \pm 0.12$ km and $0.43 \pm 0.14$ km and the densities of the components to be $1.1 \pm 0.2$ g/cm$^3$, $1.0 \pm 0.4$ g/cm$^3$ and $2.3 \pm 1.3$ g/cm$^3$, respectively. These low densities and the spectral observations that suggest 2001 SN$_{263}$ is a dark, carbonaceous B-type asteroid imply a very porous, rubble-like internal structure. The rapid rotation rate for the primary is consistent with those of the primaries of many observed binary NEA systems. 2001 SN$_{263}$ was the first detected triple near-Earth asteroid. The physical properties will help to constrain formation mechanisms and the evolution of binary and ternary systems. They provide strong constraints on the asteroids' density and internal structure, essential characteristics for understanding the near-Earth asteroid population.

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Appendix A. Supplementary material

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